



03500.016241

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Hiroshi MATSUDA ) : Examiner: Vincent M. Rudolph  
Appln. No.: 10/084,421 ) : Group Art Unit: 2625  
Filed: February 28, 2002 ) : Confirmation No.: 7453  
For: IMAGE PROCESSING DEVICE, ) : August 16, 2007  
INFORMATION PROCESSING METHOD, ) :  
AND COMPUTER-READABLE STORAGE ) :  
MEDIUM STORING A CONTROL ) :  
PROGRAM PERFORMING AN ) :  
OPERATION BASED ON WHETHER A ) :  
FIRST FUNCTION IS BEING SET OR ) :  
REQUESTED TO BE SET ) :  
(As Amended) )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THIRD INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449.

U.S. Patent Nos. 5,798,738 and 6,104,499 are in the same patent family as JP 08-329005 and U.S. Patent No. 5,875,296 is in the same patent family as JP 10-257048.

The concise explanation of relevance of the Japanese patents is found in the attached English Abstracts thereof and in the explanation provided below.

JP2000-112692 is understood to disclose an image forming system including a local copy machine and a remote copy machine, in which authentication is performed

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based on an ID number provided by the local or remote copy machine to determine whether printing is accepted.

JP08-329005 is understood to disclose a decentralized processing system and its control method. It is understood to be recited in paragraph [0029] that the operation manager 55 of the OA device 21 displays on the operation panel 41 that an access process is being executed through remote control by the personal computer 32 (remote mode), and that no direct (local) operations onto the operation panel 41 of the OA device 21 may be accepted, if any, once the apparatus has been placed into the remote mode.

JP10-257048 is understood to disclose a system having a Web server for user authentication. Once a user ID and a password have been transmitted, subsequent user authentication procedures are understood to be executed by means of a unique ID (based on cookie).

JP10-191010 is understood to disclose facsimile equipment. Information on a facsimile operation panel is understood to be described in HTML as a home page and displayed on a browser of a personal computer (Fig. 5).

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

The Commissioner is hereby authorized to charge the required \$180 fee and any additional fee which may be required in connection with this paper to Deposit Account No. 06-1205.

Applicant's undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.


Respectfully submitted,

/Gary M. Jacobs/

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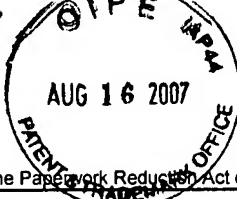
FORM PTO 1449 (modified)				ATTY DOCKET NO. <b>03500.016241</b>		APPLICATION NO. <b>10/084,421</b>	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				APPLICANT <b>HIROSHI MATSUDA</b>			
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)				FILING DATE <b>February 28, 2002</b>		GROUP <b>2625</b>	
Submitted: August 16, 2007							
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,104,499	08/15/2000	Yamada	358	1.15	
		5,875,296	02/23/1999	Shi et al.	395	188.01	
		5,798,738	08/25/1998	Yamada	345	2	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
		2000-112692	04/21/2000	Japan			Abstract
		10-257048	09/25/1998	Japan			Abstract
		10-191010	07/21/1998	Japan			Abstract
		8-329005	12/13/1996	Japan			Abstract
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1

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Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818)

# FEE TRANSMITTAL For FY 2006

Complete if Known

<input type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27		Application Number	10/084,421
TOTAL AMOUNT OF PAYMENT		Filing Date	February 28, 2002
(\$) 530.00		First Named Inventor	Hiroshi MATSUDA
		Examiner Name	Vincent M. Rudolph
		Art Unit	2625
		Attorney Docket No.	03500.016241

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 06-1205 Deposit Account Name: Fitzpatrick, Cella, Harper & Scinto

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 C.F.R. 1.16 and 1.17 ☒ Credit any overpayments

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## FEE CALCULATION

### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

### 2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
44 - 37 = 7	x \$50	= \$350				
HP = highest number of total claims paid for, if greater than 20					\$360	\$0.00

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
16 - 16 or HP = 0	x \$200	= \$0.00	
HP = highest number of independent claims paid for, if greater than 3			

### 3. APPLICATION SIZE FEE

If the specification, drawings, and any Preliminary Amendment exceed 100 sheets of paper in total, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 100 =	/ 50 =	(round up to a whole number) x	=	

### 4. OTHER FEE(S)

Non-English Specification,	\$130 fee (no small entity discount)	<u>Fees Paid (\$)</u>
Other: \$180 fee for filing Information Disclosure Statement		

### SUBMITTED BY

Signature		Registration No. 28,861 (Attorney/Agent)	Telephone 212-218-2100
Name (Print/Type)	Gary M. Jacobs		Date: August 16, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

GMJ/lip